PTO/SB/21 (09-04)

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TRANSMITTAL FORM		Application Number		09/938,328
		Filing Date		August 23, 2001
		First Named Inventor		Chris Scotto et al.
	Art Unit		3629	
(to be used for all correspondence aft	Examiner Name		Nguyen, Tan D.	
Total Number of Pages in This Submission 3		Attorney Docket Nu	mber	005222.00372
	ENCLO	SURES (check all that	apply)	
Fee Transmittal Form	Drawing(Drawing(s)		After Allowance Communication to TC
Fee Attached	Licensing	related Papers		Appeal Communication to Board
Amendment / Reply	Petition	Petition		of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
After Final	· · ·	Petition to Convert to a Provisional Application		Proprietary Information
Affidavits/declaration(s)		Attorney, Revocation of Correspondence Addre	Status Letter	
Extension of Time Request	Terminal	Disclaimer		Other Enclosure(s) (please identify below):
	Request f	Request for Refund		Response to Restriction Requirement
Express Abandonment Request	CD, Numi	CD, Number of CD(s)		
Information Disclosure Statemen	t 📗 Lar	ndscape Table on CD		
Certified Copy of Priority Document(s)	Remarks			
Reply to Missing Parts/				
Incomplete Application Reply to Missing Parts				
under 37 CFR1.52 or 1.53				
SI	GNATURE OF	APPLICANT, ATTOR	NEY, O	R AGENT
Firm	Banner & Witcoff, LTD.			
Signature				
Printed Name Steve S. Chang				
Date May 3, 200		1' 1	Reg. No.	42,402
	CERTIFICA	TE OF TRANSMISSI	ON/MAI	LING
	first class mail i			or deposited with the United States Postal Commissioner for Patents, P.O. Box 1450,
Signature				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Typed or printed name

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE In re Application of: Chris SCOTTO et al. Chris SCOTTO et al. Examiner: Nguyen, Tan D. Application No.: 09/938,328 Art Unit: 3629 Filed: August 23, 2001 Attorney Docket: 005222.00372 For: Method for Guiding a Business After an Initial Funding State TO AN Initial Public Offering To An Initial Public Offering

RESPONSE TO RESTRICTION REQUIREMENT

Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

READINESS STATE

Dear Sir:

This paper is responsive to the non-final Office Action dated April 6, 2005, and is being filed during the Shortened Statutory Period established for responding to the Action, which expires on **May 6, 2005**. Accordingly, no fee is believed to be due in connection with this filing. However, if such a fee is due, the Commissioner is authorized to charge such a fee to Deposit Account No. 19-0733.

Amendment dated 5/3/2005 Response to Office Action dated 04/06/2005

09/938,328

In the Action, claims 1-21 were pending, and these claims were restricted to the

following two groups:

Group I: Claims 1-20

Group II: Claim 21

Applicants elect, without traverse, Group I (claims 1-20) for prosecution in the present

application.

If the Examiner believes that additional discussion and/or amendment is needed to

place the application in condition for allowance, the Examiner is invited to telephone

Applicants' undersigned representative at the number appearing below.

Respectfully submitted,

Date: May 3, 2005

Steve S. Chang

Registration No. 42,402

BANNER & WITCOFF, LTD. 1001 G Street, N.W., 11th Floor

Washington, D.C. 20001-4597

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